

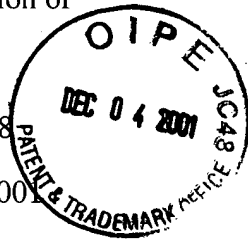
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

MAKI, Y. et al.

Serial No. 09/982,988

Filed: October 22, 2001



Atty. Ref.: 2018-460

Group: 3661

Examiner:

For: VEHICULAR CONTROL DEVICE HAVING SELF-DIAGNOSIS
FUNCTION AND SELF-DIAGNOSIS PROGRAM FOR
IMPLEMENTING THE SAME

* * * * *

December 4, 2001

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

SUBMISSION OF CORRECTED FORM PTO-1449

The Form PTO-1449 originally filed with this application on October 22, 2001
erroneously identified two documents:

EP 0 897 423 should have read --EP 0 987 423-- and

JP 62-291 539 should have read --JP 62-291 537--.

A corrected Form PTO-1449 is attached for use in substitution for the originally filed
erroneous form.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: Larry S. Nixon

Larry S. Nixon

Reg. No. 25,640

LSN:vc
1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714
Telephone: (703) 816-4000
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SERIAL NO.

unknown

MAKI, Y. et al.

FILING DATE

GROUP

DEC 04 2001

October 22, 2001

*EXAMINER
INITIAL

~~DOCUMENT NUMBER~~

DATE _____

NAME _____

CLASS

SUBCLASS

FILING DATE
IF APPROPRIATE

5,671,141

09/1997

SMITH et al.

5,631,831

05/1997

BIRD et al.

ENGLISH ABSTR.

DOCUMENT

DATE _____

COUNTRY

CLASS

SUBCLASS

YES

NO

EP 0 987 423

03/2000

EP

62-291537

12/1987

JP

8-95788

04/1996

JP

X

X

MAKI et al; US SN 09/840,877; filed 25/04/2001; CONTROL APPARATUS HAVING OBJECT

*Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

MAKI, Y. et al.

Serial No. 09/982,988

Filed: October 22, 2001

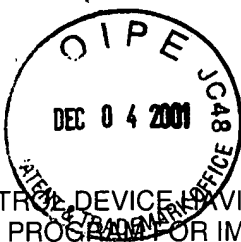
For: VEHICULAR CONTROL DEVICE HAVING SELF-DIAGNOSIS FUNCTION AND
SELF-DIAGNOSIS PROGRAM FOR IMPLEMENTING THE SAME

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Application Branch

Atty. Dkt. 2018-460

Date: December 4, 2001



The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR
☐ Signed Declaration plus attached copy of originally filed specification/drawings.
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.
☒ Record the attached assignment and return to the undersigned.
☐ Attached is a Power of Attorney.
☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:
- | Application Number | Country | Day/Month/Year Filed |
|--------------------|---------|----------------------|
| 2000-329026 | Japan | 27 October 2000 |

respectively.

- ☐ Certified copy(ies) of foreign application(s) is/are attached.
☒ Certified copy(ies) filed on October 22, 2001 in the subject application.
☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.
☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.
☐ Also attached: ☐ Information Disclosure Statement; ☐ Nucleotide and/or Amino Acid
 Sequence Submission; ☐ Other:

Fees due are calculated below:

Basic filing fee					\$	740.00
Total Effective claims	26	- 20 =	6	x \$	18.00	\$ 108.00
Independent claims	8	- 3 =	5	x \$	84.00	\$ 420.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)						\$ 0.00
FILING FEE						\$ 1,268.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months)						\$ 0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted						\$ 130.00
English translation of specification and claims (\$130.00)						\$ 0.00
FIRST SUBTOTAL						\$ 1,398.00
If "small entity," enter half (½) of subtotal and subtract						-\$ 0.00
SECOND SUBTOTAL						\$ 1,398.00
Assignment Recording Fee (\$40.00)						\$ 40.00
TOTAL FEE DUE						\$ 1,438.00
Check enclosed (Pre-Bill)*						\$ 910.00
Check enclosed (non Pre-Bill)*						\$ 528.00
TOTAL FEE ENCLOSED						\$ 1438.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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LSN:vc

NIXON & VANDERHYE P.C.

By Atty: Larry S. Nixon, Reg. No. 25,640

Signature: Larry S. Nixon

*For N&V internal accounting purposes

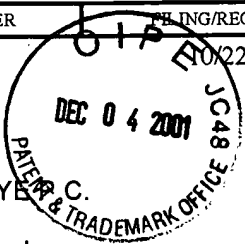
#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D. C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,988	12/22/2001	Yoshiyuki Maki	2018-460



NIXON & VANDERHYE, C.
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CONFIRMATION NO. 7816

FORMALITIES LETTER



OC00000007101211

Date Mailed: 11/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$528.
 - \$108 for 6 total claims over 20.
 - \$420 for 5 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1398.

*A copy of this notice **MUST** be returned with the reply.*

12/05/2001 HBERHE 00000086 09982988

Customer Service Center
Initial Patent Examination Division (703) 308-1202

01 FC:101
02 FC:105
03 FC:103
04 FC:102

740.00 OP
130.00 OP
108.00 OP
420.00 OP

PART 1 - ATTORNEY/APPLICANT COPY